

1744
102703

PATENT
Docket No. 10-9436

17513 U.S. PTO
10694111

102703

CERTIFICATE OF MAILING

I hereby certify that on October 24, 2003, this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.

37 C.F.R. § 1.8(a)

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Allie Barrett

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
UTILITY PATENT APPLICATION TRANSMITTAL**

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Sir:

Transmitted herewith for filing is the patent application of inventor(s):

1st Inventor: Xuzhi Qin

For: OXYGEN-CONTAINING HETEROCYCLIC FUSED NAPHTHOPIRANS

1. Type of Application

This new application is for a(n)

Original (non provisional)
 Design
 Plant
 Continuation-in-part (CIP)

2. **Benefit of Prior U.S. Application(s) (35 USC §§ 119(e), 120, or 121)**

The new application being transmitted claims the benefit of the following prior U.S. Provisional application(s): (1) U.S. Provisional Patent Application No. 60/421,348 filed October 24, 2002 entitled *Oxygen-Containing Heterocyclic Fused Naphthopyrans*; and (2) U.S. Provisional Patent Application No. 60/422,147 filed October 28, 2002 entitled *Oxygen-Containing Heterocyclic Fused Naphthopyrans*.

3. **Papers Enclosed**

A.

26 pages of specification
8 pages of claims
1 pages of abstract
0 sheets of drawings (do not submit original drawings)
(complete the following, if applicable)

The enclosed drawing(s) are photograph(s), and there is also attached a "PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)." 37 CFR 1.84(b).

B. **Other Papers Enclosed**

2 Combined Declaration, Power of Attorney and Petition
2 Assignment
1 Fee Transmittal for FY 2004
1 Credit Card Payment Form

4. **Declaration or oath (including power of attorney)**

Enclosed
 executed by inventor(s)

5. **Inventorship Statement**

Inventorship for all the claims in this application are:

The same.

6. **Assignment**

An assignment of the invention to Vision-Ease Lens, Inc.
 is attached.
 executed by inventor(s)
 was filed in parent application
 will follow.

7. Fee Calculation

A. Regular application

| CLAIMS AS FILED | | | | | |
|--|--------------|-------------------|----------------|------------------------------|--------------|
| Number filed | Number Extra | Rate | | Basic F 37 CFR § 1.16(a)/ | |
| | | Large Entity | Small Entity | Large Entity | Small Entity |
| | | | | \$750.00 | \$375.00 |
| Total Claims (37 CFR § 1.16(c)) = 20 | - 20 = 0 | x \$18.00 = | x \$9.00 = | 00.00 | |
| Independent Claims (37 CFR § 1.16(b)) = 3 | - 3 = 0 | x \$84.00 = | x \$42.00 = | 00.00 | |
| Multiple dependent claims(s), if any (37 CFR § 1.16(d)) = 11 | | | + \$280.00 = | 00.00 | |
| Recordation Filing Fee | | | | | |

- An amendment canceling extra claims is enclosed.
- An amendment deleting multiple-dependencies is enclosed.
- The fee for extra claims is not being paid at this time

Filing Fee Calculation \$750.00

- B. Design application (\$330-37 CFR§1.16(f)) \$ _____
- C. Plant application (\$540-37 CFR§1.16(g)) \$ _____

8. Small Entity Statement(s)

- Applicant claims small entity status. See 37 CFR 1.27

9. Fee Payment Being Made at Time

- Not Enclosed
 - No filing fee is to be paid at this time.
- Enclosed
 - Filing Fee \$ 750.00

10. Method of Payment of Fees

- A check in the amount of \$ _____ is enclosed.
- Credit Card Payment Form is enclosed.
- Charge Deposit Account No. 50-2809 in the amount of \$ _____
A duplicate of this transmittal is attached.

11. Request and Certification under 35 USC §122 (b)(2)(B)(i) (Non-publication Request)

12. Authorization to Charge Additional Fees

(If no fees are to be paid on filing, the following items should not be completed)

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 50-2809.

- 37 CFR §§1.16(a), (f), or (g) (Filing fees)
- 37 CFR §§1.16(b), (c), and (d) (presentation of extra claims)
- 37 CFR §§1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- 37 CFR §§1.17(a)(1)-(5) (extension fees pursuant to §1.136(a))
- 37 CFR §§1.17 (application processing fees)
- 37 CFR §§1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR §1.1311(b))

13. Instructions as to Overpayment

- Credit Account No. 50-2809
- Refund

14. Correspondence Address

Customer Number. 37,374

Please direct all future correspondence to the following attorney/address:

INSKEEP INTELLECTUAL PROPERTY GROUP, INC.

1225 W. 190th Street, Suite 205

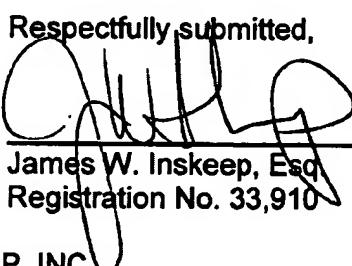
Gardena, CA 90248

Attn: James W. Inskeep

Telephone: 310.217.6220

Facsimile: 310.327.0282

Respectfully submitted,


James W. Inskeep, Esq.
Registration No. 33,910

October 24, 2003

INSKEEP INTELLECTUAL PROPERTY GROUP, INC.

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CO/2013
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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U.S. PTO

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 750.00)

Complete if Known

| | |
|----------------------|------------------|
| Application Number | Unknown |
| Filing Date | October 24, 2003 |
| First Named Inventor | Qin |
| Examiner Name | Unknown |
| Art Unit | Unknown |
| Attorney Docket No. | 10-9436 |

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

 Deposit Account:Deposit Account Number
Deposit Account Name

37,374

INSKEEP IP GROUP, INC.

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code (\$) | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|---------------|-------------|------------------------|----------|
| 1001 770 | 2001 385 | | 750.00 | Utility filing fee | |
| 1002 340 | 2002 170 | | | Design filing fee | |
| 1003 530 | 2003 265 | | | Plant filing fee | |
| 1004 770 | 2004 385 | | | Reissue filing fee | |
| 1005 160 | 2005 80 | | | Provisional filing fee | |
| SUBTOTAL (1) | | | (\$ 750.00) | | |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Independent Claims | Multiple Dependent | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------------|--------------------|--------------|----------------|----------|
| 15 | 2 | | -20** = 0 | x 18 = 00.0 | |
| | | | - 3** = 0 | x 15 = 00.0 | |
| | | | | | 00.0 |

| Large Entity | Small Entity | Fee Description |
|--------------|--------------|--|
| 1202 18 | 2202 9 | Claims in excess of 20 |
| 1201 88 | 2201 43 | Independent claims in excess of 3 |
| 1203 290 | 2203 145 | Multiple dependent claim, if not paid |
| 1204 86 | 2204 43 | ** Reissue independent claims over original patent |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | (\$ 00.00) |

*or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Description | Fee Paid |
|-----------------------------------|---------------|--|----------|
| Fee Code (\$) | Fee Code (\$) | | |
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | Non-English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for ex parte reexamination | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 110 | 2251 55 | Extension for reply within first month | |
| 1252 420 | 2252 210 | Extension for reply within second month | |
| 1253 850 | 2253 475 | Extension for reply within third month | |
| 1254 1,480 | 2254 740 | Extension for reply within fourth month | |
| 1255 2,010 | 2255 1,005 | Extension for reply within fifth month | |
| 1401 330 | 2401 165 | Notice of Appeal | |
| 1402 330 | 2402 165 | Filing a brief in support of an appeal | |
| 1403 290 | 2403 145 | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | Petition to institute a public use proceeding | |
| 1452 110 | 2452 55 | Petition to revive - unavoidable | |
| 1453 1,330 | 2453 665 | Petition to revive - unintentional | |
| 1501 1,330 | 2501 665 | Utility issue fee (or reissue) | |
| 1502 480 | 2502 240 | Design issue fee | |
| 1503 640 | 2503 320 | Plant issue fee | |
| 1480 130 | 1460 130 | Petitions to the Commissioner | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.17(q) | |
| 1808 180 | 1808 180 | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 770 | 2809 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 770 | 2810 385 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 770 | 2801 385 | Request for Continued Examination (RCE) | |
| 1802 900 | 1802 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | |
| *Reduced by Basic Filing Fee Paid | | | |
| SUBTOTAL (3) | | (\$ 00.00) | |

(Complete if applicable)

| Name (Print/Type) | James W. Inskeep, Esq. | Registration No. (Attorney/Agent) | 33,910 | Telephone | 310 217-6220 |
|-------------------|------------------------|-----------------------------------|--------|-----------|------------------|
| Signature | | | | Date | October 24, 2003 |

WARNING: Information in this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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